

# Chapter 1: The computational model

## Turing Machines (TM)

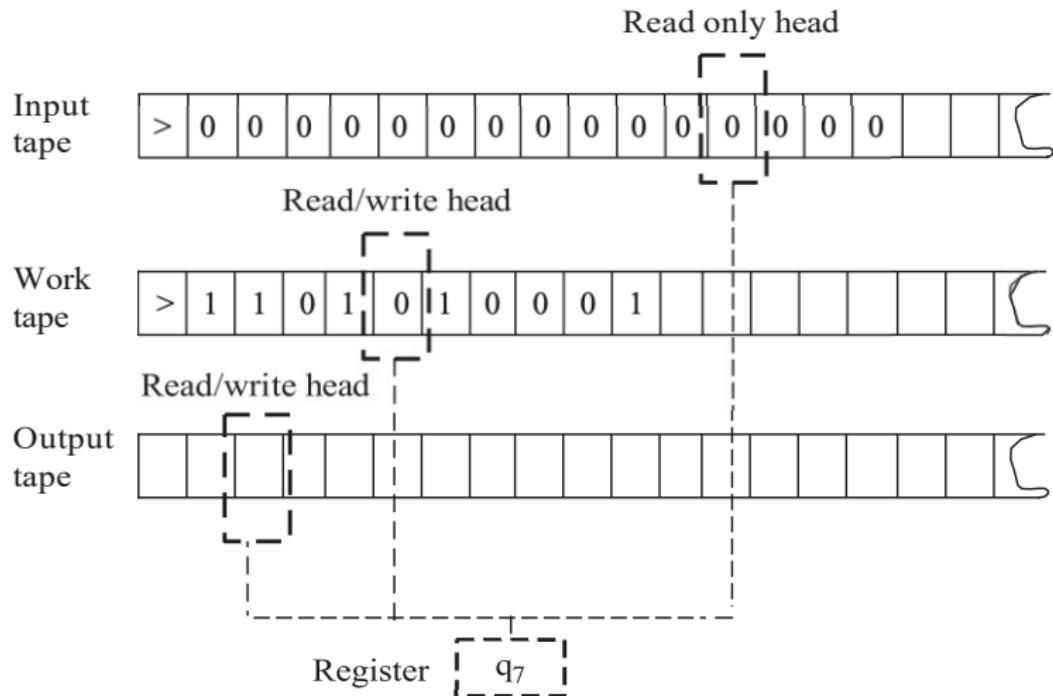


Figure: A 3-tape Turing Machine

## Turing Machines (TM)

- The **running time**  $T(n)$  on input of size  $n$  is the number of basic operations.
- Making the machine more powerful (e.g., bigger alphabet, more states, etc.) can be simulated by the simpler TM with only **polynomial slowdown**, i.e.,  $T(n)$  algorithm on stronger TM runs in  $O(T(n)^c)$  time in weaker TM, for some constant  $c > 0$ .
- Any TM can be described by a **fixed-format string** (e.g., (Alphabet, States, Transition rules)). A numerical encoding of this string is a **unique numerical ID** for the TM in our encoding scheme.
  - ⇒ If  $\alpha$  is the encoding of a TM, we will denote this TM as  $M_\alpha$ . ⇒ TMs can get the encoding  $\alpha$  of TM  $M_\alpha$  as **input**.
  - ⇒ We can build a **universal TM** (let's call it *UTM*) that can simulate any other TM  $M_\alpha$  it gets in its input. ⇒ **SOFTWARE!**
- If  $M_\alpha(x)$  runs in time  $T(|x|)$ ,  $UTM(\alpha, x)$  runs in time  $O(T(|x|) \log T(|x|))$ , i.e., we lose **only a logarithmic factor**.

## Computability

- **Decision problem:** Let  $f : \{0, 1\}^* \rightarrow \{0, 1\}$  be a binary function. The computation of  $f$  is called a **decision problem**. The set  $L_f = \{x : f(x) = 1\}$  is the **language** defined by  $f$ .
- **Algorithm:** A mechanical “recipe” for solving a decision problem that (i) always **terminate** (ii) with the **correct** answer.
- If decision problem  $L$  has algorithm then it is called **decidable**. Otherwise it is **undecidable**.
- If  $L$  has a TM that always halts with 1 for all  $x \in L_f$ , but may not halt when  $x \notin L_f$  (and outputs 0 if it halts) is called **recursive enumerable**.

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## Computability

### Theorem 1

There exists function  $UC : \{0, 1\}^* \rightarrow \{0, 1\}$  that is not computable by any TM (i.e.,  $UC$  is undecidable).

Proof: By **diagonalization**. We define for every string  $a \in \{0, 1\}^*$

$$UC(a) = \begin{cases} 0, & \text{if } M_a(a) = 1 \\ 1, & \text{if } M_a(a) = 0 \text{ or } M_a(a) \text{ doesn't halt} \end{cases}$$

	0	1	00	01	10	11	...	$\alpha$	...
0	01	1	*	0	1	0		$M_0(\alpha)$	
0	1	1	0	1	*	1		...	
00	*	0	10	0	1	*			
01	1	*	0	01	*	0			
...									
$\alpha$	$M_a(\alpha)$	...					$M_a(\alpha) 1 - M_a(\alpha)$		
...									

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## Computability

Definition:  $\text{HALT}(a, x) = 1$  iff  $M_a(x)$  halts (halting problem).

### Theorem 2

$\text{HALT}$  is undecidable.

Proof: By reduction  $UC \leq \text{HALT}$ . Assume  $M_{\text{HALT}}$  decides  $\text{HALT}$ .

$M_{UC}(a) :=$  If  $M_{\text{HALT}}(a, a) = 0$  then return 1 else return  $\neg M_a(a)$ .

- $M_{\text{HALT}}(a, a) = 0 \Rightarrow M_a(a)$  doesn't halt  $\Rightarrow UC(a) = 1$
- $M_{\text{HALT}}(a, a) = 1 \Rightarrow M_a(a)$  halts  $\Rightarrow UC(a) = \neg M_a(a)$

$\Rightarrow M_{UC}(a)$  decides  $UC \Rightarrow$  contradiction of Thm. 1. □

- Gödel's theorem and Decidability

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## The class $P$

**Definition:** A **complexity class** is a set of functions computable within given resource bounds.

**Definition:** Language  $L \in DTIME(T(n))$  iff there is TM that decides  $L$  in time  $c \cdot T(n)$  for some constant  $c > 0$ .

**Note:**  $DTIME(T(n))$  is defined for *decision problems* (languages).

**Definition:**  $P = \bigcup_{c \geq 0} DTIME(n^c)$

**Note 1:**  $n$  is the size of writing the input **in bits** (on the TM tape), **not** the **value** of the input. E.g., algorithm that solves equation  $Ax = 1$  in time  $O(\log^3 A)$  is **polynomial**, algorithm that runs in time  $O(A^2)$  is **pseudo-polynomial**.

**Note 2:**  $P$  is the only class closed under composition, i.e., algorithm with poly-time work and polynomially many calls to subroutines in  $P$  is still in  $P$ !

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## Computational model may not matter

Church-Turing thesis: Any model of computation can be **simulated** by a TM.

Church-Turing thesis (strong form): Any model of computation can be **efficiently (i.e., with polynomial overhead) simulated** by a TM.

**Note:** What about **quantum computers**?

## Comments on the definition of $P$

- Worst-case analysis and exact computation
- Precision (or  $\mathbb{R}$  vs.  $\mathbb{N}$ )
- Use of randomness
- Use of quantum mechanics or other exotic physics
- Decision problems are too restrictive

Read Edmond's quote (from someone who defined  $P$  when  $P$  didn't yet exist...) Read the **Chapter notes and history**.