

# Problem

## The Maximum Number of Runs in a String

Bill Smyth<sup>1,2</sup>

<sup>1</sup> Algorithms Research Group, Department of Computing & Software  
 McMaster University, Hamilton, Ontario, Canada L8S 4K1  
 smyth@mcmaster.ca  
[www.cas.mcmaster.ca/cas/research/algorithms.htm](http://www.cas.mcmaster.ca/cas/research/algorithms.htm)

<sup>2</sup> Digital Ecosystems & Business Intelligence Institute  
 and Department of Computing, Curtin University, GPO Box U1987  
 Perth WA 6845, Australia  
 smyth@computing.edu.au

Given a nonempty string  $\mathbf{u}$  and an integer  $e \geq 2$ , we call  $\mathbf{u}^e$  a *repetition*; if  $\mathbf{u}$  itself is not a repetition, then  $\mathbf{u}^e$  is a *proper repetition*. Given a string  $\mathbf{x}$ , a *repetition in  $\mathbf{x}$*  is a substring

$$\mathbf{x}[i..i+e|\mathbf{u}|-1] = \mathbf{u}^e,$$

where  $\mathbf{u}^e$  is a proper repetition and neither  $\mathbf{x}[i+e|\mathbf{u}|..i+(e+1)|\mathbf{u}|-1]$  nor  $\mathbf{x}[i-|\mathbf{u}|..i-1]$  equals  $\mathbf{u}$ . We say the repetition has *period*  $|\mathbf{u}|$  and *exponent*  $e$ ; it can be specified by the integer triple  $(i, |\mathbf{u}|, e)$ . It is well known [2] that the maximum number of repetitions in a string  $\mathbf{x} = \mathbf{x}[1..n]$  is  $\Theta(n \log n)$ , and that the number of repetitions in  $\mathbf{x}$  can be computed in  $\Theta(n \log n)$  time [2, 1, 10].

A string  $\mathbf{u}$  is a *run* iff it is periodic of (minimum) period  $p \leq |\mathbf{u}|/2$ . Thus  $\mathbf{x} = \mathbf{abaabaabaabaab} = (\mathbf{aba})^4\mathbf{ab}$  is a run of period  $|\mathbf{aba}| = 3$ . A substring  $\mathbf{u} = \mathbf{x}[i..j]$  of  $\mathbf{x}$  is a *run* or *maximal periodicity in  $\mathbf{x}$*  iff it is a run of period  $p$  and neither  $\mathbf{x}[i-1..j]$  nor  $\mathbf{x}[i..j+1]$  is a run of period  $p$ . The run  $\mathbf{u}$  has *exponent*  $e = \lfloor |\mathbf{u}|/p \rfloor$  and possibly empty *tail*  $\mathbf{t} = \mathbf{x}[i+ep..j]$  (proper prefix of  $\mathbf{x}[i..i+p-1]$ ). Thus

1 2 3 4 5 6 7 8 9 10 11 12 13 14  
 $\mathbf{x} = \mathbf{b a a a b a a b a a b a b a}$

contains a run  $\mathbf{x}[3..12]$  of period  $p = 3$  and exponent  $e = 3$  with tail  $\mathbf{t} = \mathbf{a}$  of length  $t = |\mathbf{t}| = 1$ . It can be specified by a 4-tuple  $(i, p, e, t) = (3, 3, 3, 1)$ . and it includes the repetitions  $(\mathbf{aab})^3$ ,  $(\mathbf{aba})^3$  and  $(\mathbf{baa})^2$  of period  $p = 3$ . In general it is easy to see that for  $e = 2$  a run *encodes*  $t+1$  repetitions;

for  $e > 2$ ,  $p$  repetitions. Clearly, computing all the runs in  $\mathbf{x}$  specifies all the repetitions in  $\mathbf{x}$ . The idea of a run was introduced in [9].

Let  $r_{\mathbf{x}}$  denote the number of runs that actually occur in a given string  $\mathbf{x}$ , and let  $\rho(n)$  denote the maximum number of runs that can possibly occur in any string  $\mathbf{x}$  of given length  $n$ . A string  $\mathbf{x} = \mathbf{x}[1..n]$  such that  $r_{\mathbf{x}} = \rho(n)$  is said to be *run-maximal*.

In [7, 8] it was shown that there exist universal positive constants  $k_1$  and  $k_2$  such that

$$\rho(n)/n < k_1 - k_2 \log_2 n / \sqrt{n},$$

but the proof was nonconstructive and provided no way of estimating the magnitude of  $k_1$  and  $k_2$ . In [7], using a brute force algorithm, a table of  $\rho(n)$  was computed for  $n = 5, 6, \dots, 31$ , giving also for each  $n$  an example of a run-maximal string; for every  $n$  in this range,  $\rho(n)/n < 1$  and  $\rho(n) \leq \rho(n-1) + 2$ . In [5] an infinite sequence  $X = \{\mathbf{x}_1, \mathbf{x}_2, \dots\}$  of strings was described, with  $|\mathbf{x}_{i+1}| > |\mathbf{x}_i|$  for every  $i \geq 1$ , such that

$$\lim_{i \rightarrow \infty} r_{\mathbf{x}_i} / |\mathbf{x}_i| = \frac{3}{2\phi},$$

where  $\phi = \frac{1+\sqrt{5}}{2}$  is the golden mean. Moreover, it was conjectured that in fact

$$\lim_{n \rightarrow \infty} \rho(n)/n = \frac{3}{2\phi}. \quad (1)$$

Recently a different and simpler construction was found [6] to yield another infinite sequence  $X$  of strings for which the ratio  $r_{\mathbf{x}_i} / |\mathbf{x}_i|$  approached the same limit; in addition, it was shown that for every  $\epsilon > 0$  and for every sufficiently large  $n = n(\epsilon)$ ,  $\frac{3}{2\phi} - \epsilon$  provides an asymptotic lower bound on  $\rho(n)/n$ .

In 2006 considerable progress was made on the estimation of an upper bound on  $\rho(n)/n$ :

- \*  $\rho(n)/n \leq 5.0$  [12];
- \*  $\rho(n)/n \leq 3.48$  [11];
- \*  $\rho(n)/n \leq 3.44$  [13];
- \*  $\rho(n)/n \leq 1.6$  [3].

Thus the problem may be stated as follows:

**Is conjecture (1) true?**

**If not, then characterize the function  $\rho(n)/n$ .**

Help may be found in recent work studying the limitations imposed on the existence and length of runs in neighbourhoods of positions where two runs are known to exist [4, 14].

## References

1. Alberto Apostolico & Franco P. Preparata, **Optimal off-line detection of repetitions in a string**, *Theoret. Comput. Sci.* 22 (1983) 297–315.
2. Maxime Crochemore, **An optimal algorithm for computing the repetitions in a word**, *Inform. Process. Lett.* 12–5 (1981) 244–250.
3. Maxime Crochemore & Lucian Ilie, **Maximal repetitions in strings**, submitted for publication (2006).
4. Kangmin Fan, Simon J. Puglisi, W. F. Smyth & Andrew Turpin, **A new periodicity lemma**, *SIAM J. Discrete Math.* 20–3 (2006) 656–668.
5. Frantisek Franek, R. J. Simpson & W. F. Smyth, **The maximum number of runs in a string**, *Proc. 14<sup>th</sup> Australasian Workshop on Combinatorial Algs.*, M. Miller & K. Park (eds.) (2003) 26–35.
6. Frantisek Franek & Qian Yang, **An asymptotic lower bound for the maximum-number-of-runs function**, *Proc. Prague Stringology Conference '06*, Jan Holub & Jan Žd'árek (eds.) (2006) 3–8.
7. Roman Kolpakov & Gregory Kucherov, *Maximal Repetitions in Words or How to Find all Squares in Linear Time*, Rapport LORIA 98-R-227, Laboratoire Lorrain de Recherche en Informatique et ses Applications (1998) 22 pp.
8. Roman Kolpakov & Gregory Kucherov, **On maximal repetitions in words**, *J. Discrete Algs.* 1 (2000) 159–186.
9. Michael G. Main, **Detecting leftmost maximal periodicities**, *Discrete Applied Maths.* 25 (1989) 145–153.
10. Michael G. Main & Richard J. Lorentz, **An  $O(n \log n)$  algorithm for finding all repetitions in a string**, *J. Algs.* 5 (1984) 422–432.
11. Simon J. Puglisi, R. J. Simpson & W. F. Smyth, **How many runs can a string contain?**, submitted for publication (2006).
12. Wojciech Rytter, **The number of runs in a string: improved analysis of the linear upper bound**, *Proc. 23rd Symp. Theoretical Aspects of Computer Science*, B. Durand & W. Thomas (eds.), LNCS 2884, Springer-Verlag (2006) 184–195.
13. Wojciech Rytter, **The number of runs in a string**, submitted for publication (2006).
14. R. J. Simpson, **Intersecting periodic words**, submitted for publication (2006).