

HYPERGRAPHIC DEGREE SEQUENCES ARE HARD

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Abstract

In their celebrated 1960 paper Erdős and Gallai give an effective characterization of degree sequences of graphs. The analog problem for 3-hypergraphs has been open ever since. We solve it by showing that deciding degree sequences of 3-hypergraphs is NP-complete.

A k -hypergraph on $[n]$ is a subset $H \subseteq \{0, 1\}_k^n := \{x \in \{0, 1\}^n : \|x\|_1 = k\}$. The *degree sequence* of H is the vector $d = \sum H := \sum \{x : x \in H\}$. We consider the following decision problem: given k and $d \in \mathbb{Z}_+^n$, is d the degree sequence of some hypergraph $H \subseteq \{0, 1\}_k^n$? For $k = 2$, that is for graphs, the celebrated work of Erdős and Gallai [3, 1960] implies that d is a degree sequence of a graph if and only if $\sum d_i$ is even and, permuting d so that $d_1 \geq \dots \geq d_n$, the inequalities $\sum_{i=1}^j d_i - \sum_{i=l+1}^n d_i \leq j(l-1)$ hold for $1 \leq j \leq l \leq n$, yielding a polynomial time algorithm. For $k = 3$ the problem has been open ever since, was formally posed over 30 years ago by Colbourn, Kocay, and Stinson [1, 1986, Problem 3.1], and was recently solved by Deza, Levin, Meesum, and Onn [2, 2018].

Here is the statement and its short proof.

Theorem It is NP-complete to decide if $d \in \mathbb{Z}_+^n$ is the degree sequence of a 3-hypergraph.

Proof. The problem is in NP since if d is a degree sequences then a hypergraph $H \subseteq \{0, 1\}_3^n$ of cardinality $|H| \leq \binom{n}{3} = O(n^3)$ can be exhibited and $d = \sum H$ verified in polynomial time.

We consider the following three decision problems where $\mathbf{1}$ denotes the all-ones vector.

- (1) Given $a \in \mathbb{Z}_+^n, b \in \mathbb{Z}_+$ with $3\mathbf{1}a = nb$, is there an $F \subseteq \{x \in \{0, 1\}_3^n : ax = b\}$ with $\sum F = \mathbf{1}$?
- (2) Given $w \in \mathbb{Z}^n, c \in \mathbb{Z}_+^n$ with $wc = 0$, is there a $G \subseteq \{x \in \{0, 1\}_3^n : wx = 0\}$ with $\sum G = c$?
- (3) Given $d \in \mathbb{Z}_+^n$, is there an $H \subseteq \{0, 1\}_3^n$ with $\sum H = d$?

Problem (1) is the so-called 3-partition problem which is known to be NP-complete [4]. First we reduce (1) to (2). Given a, b with $3\mathbf{1}a = nb$, let $w := 3a - b\mathbf{1}$ and $c := \mathbf{1}$. Then $wc = 0$. Now, for any $x \in \{0, 1\}_3^n$ we have $wx = 3ax - b\mathbf{1}x = 3(ax - b)$ so x satisfies $ax = b$ if and only if $wx = 0$. So the answer to (1) is YES if and only if the answer to (2) is YES. Second we reduce (2) to (3). Given w, c , with $wc = 0$, define $d := c + \sum S_+$, where $S_\sigma := \{x \in \{0, 1\}_3^n : \text{sign}(wx) = \sigma\}$ for $\sigma = -, 0, +$. Suppose there is a $G \subseteq S_0$ with $\sum G = c$. Then $H := G \cup S_+$ satisfies $\sum H = d$. Suppose there is an $H \subseteq \{0, 1\}_3^n$ with $\sum H = d$. Then

$$w \sum S_+ = w(c + \sum S_+) = w \sum H = w \sum (H \cap S_-) + w \sum (H \cap S_0) + w \sum (H \cap S_+)$$

which implies $H \cap S_- = \emptyset$ and $H \cap S_+ = S_+$. Therefore $G := H \cap S_0$ satisfies $\sum G = \sum H - \sum S_+ = c$. So the answer to (2) is YES if and only if the answer to (3) is YES. \square

References

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