Berge Sorting

Dedicated to Professor Masakazu Kojima on the occasion of his 60th birthday

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Abstract

In 1966, Claude Berge proposed the following sorting problem. Given a string of n alternating white and black pegs on a one-dimensional board consisting of an unlimited number of empty holes, rearrange the pegs into a string consisting of $\lceil \frac{n}{2} \rceil$ white pegs followed immediately by $\lfloor \frac{n}{2} \rfloor$ black pegs (or vice versa) using only moves which take 2 adjacent pegs to 2 vacant adjacent holes. Avis and Deza proved that the alternating string can be sorted in $\lceil \frac{n}{2} \rceil$ such *Berge 2-moves* for $n \ge 5$. Extending Berge's original problem, we consider the same sorting problem using *Berge k-moves*, i.e., moves which take k adjacent pegs to k vacant adjacent holes. We prove that the alternating string can be sorted in $\lceil \frac{n}{2} \rceil$ Berge 3-moves for $n \not\equiv 0 \pmod{4}$ and in $\lceil \frac{n}{2} \rceil + 1$ Berge 3-moves for $n \equiv 0 \pmod{4}$, for $n \ge 5$. In general, we conjecture that, for any k and large enough n, the alternating string can be sorted in $\lceil \frac{n}{2} \rceil$ Berge k-moves. This estimate is tight as $\lceil \frac{n}{2} \rceil$ is a lower bound for the minimum number of required Berge k-moves for $k \ge 2$ and $n \ge 5$.

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1 Introduction

In a column that appeared in the Revue Française de Recherche Opérationnelle in 1966, entitled *Problèmes plaisans et délectables* in homage to the 17th century work of Bachet [2], Claude Berge [3] proposed the following sorting problem:

For $n \geq 5$, given a string of n alternating white and black pegs on a onedimensional board consisting of an unlimited number of empty holes, we are required to rearrange the pegs into a string consisting of $\lceil \frac{n}{2} \rceil$ white pegs followed immediately by $\lfloor \frac{n}{2} \rfloor$ black pegs (or vice versa) using only moves which take 2 adjacent pegs to 2 vacant adjacent holes. Berge noted that the minimum number of moves required is 3 for n = 5 and 6, and 4 for n = 7. See Figure 1 for a sorting of 5 pegs in 3 moves.

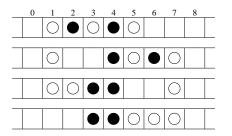


Figure 1: Sorting 5 pegs in 3 moves

Avis and Deza [1] provided a solution in $\lceil \frac{n}{2} \rceil$ Berge 2-moves for $n \geq 5$. Extending Berge's original problem, we consider the same sorting question using only Berge k-moves, i.e., moves which take k adjacent pegs to k vacant adjacent holes. We provide a solution in $\lceil \frac{n}{2} \rceil$ Berge 3-moves for $n \neq 0 \pmod{4}$ and in $\lceil \frac{n}{2} \rceil + 1$ Berge 3-moves for $n \equiv 0 \pmod{4}$ and $n \geq 5$. The authors generated minimal solutions by computer for a large number of k and n which turned out all be equal to $\lceil \frac{n}{2} \rceil$ except for the few first small values of n. Note that, for $k \geq 2$, $\lceil \frac{n}{2} \rceil$ is a lower bound for the minimum number of required Berge k-moves, see Section 3.1. To the best of our knowledge, this property was not noticed earlier. We conjecture that for any k and large enough n, the alternating string can be rearranged into a string consisting of $\lceil \frac{n}{2} \rceil$ white pegs followed immediately by $\lfloor \frac{n}{2} \rfloor$ black pegs (or vice versa) by only $\lceil \frac{n}{2} \rceil$ moves which take k adjacent pegs to k vacant adjacent holes.

2 Notation

We follow and adapt the notation used in [1, 3]. The starting game board consists of n alternating white and black pegs sitting in the positions 1 through n. A single Berge k-move will be denoted as $\{j \ i \ \}$, in which case, the pegs in the positions $i, i+1, \ldots, i+k-1$ are moved to the vacant holes $j, j+1, \ldots, j+k-1$. Successive moves are concatenated as $\{j \ i \ \} \cup \{l \ k \ \}$, which means perform $\{j \ i \ \}$ followed by $\{l \ k \ \}$. Often, a move fills an empty hole created as an effect of the previous move, and the resulting notation $\{j \ k \ \} \cup \{k \ i \ \}$ is abbreviated as $\{j \ k \ i \ \}$. This can be extended to more than two such moves as well. $S_{n,k}$ denotes a solution for n pegs by Berge k-moves and h(n,k) denotes the minimum number of required k-moves, i.e., the length of a shortest solution. For example, with this notation, possible solutions corresponding to the values h(5,2) = h(6,2) = 3 and h(7,2) = 4 given by Berge [3] are illustrated in Table 1.

Table 1: First solutions using Berge 2-moves

$$\begin{array}{rcl} \mathcal{S}_{5,2} & = & \left\{ \begin{array}{cccc} 6 & 2 & 5 & 1 \end{array} \right\} \\ \mathcal{S}_{6,2} & = & \left\{ \begin{array}{cccc} 7 & 4 & 1 \end{array} \right\} \cup \left\{ \begin{array}{cccc} 9 & 3 \end{array} \right\} \\ \mathcal{S}_{7,2} & = & \left\{ \begin{array}{ccccc} 8 & 2 & 5 & 8 & 1 \end{array} \right\} \end{array}$$

3 Main Results

3.1 Minimum number of required Berge k-moves

Let $\mathcal{D}_{n,k}(i)$ denote the *disorder*, i.e., the number of pegs whose right neighbour is not a peg of the same colour after the *i*-th Berge *k*-move. One can easily check that $|\mathcal{D}_{n,k}(i) - \mathcal{D}_{n,k}(i + 1)| \le 2$. A move such that $\mathcal{D}_{n,k}(i) - \mathcal{D}_{n,k}(i + 1) = 2$ (resp. 1 and 0) is called *optimal* (resp. *suboptimal* and *neutral*).

Lemma 3.1. For $k \ge 1$ and $n \ge 3$, at least $\lfloor \frac{n}{2} \rfloor$ Berge k-moves are required to sort a string of n alternating white and black pegs. In other words, $h(n,k) \ge \lfloor \frac{n}{2} \rfloor$ for $k \ge 1$ and $n \ge 3$.

Proof. The disorder of the initial board is $\mathcal{D}_{n,k}(0) = n$ and the disorder of the sorted string is $\mathcal{D}_{n,k}(h(n,k)) = 2$. Since the first move cannot be optimal, i.e., $\mathcal{D}_{n,k}(0) - \mathcal{D}_{n,k}(1) \leq 1$, and the following moves satisfy $\mathcal{D}_{n,k}(i) - \mathcal{D}_{n,k}(i+1) \leq 2$, we have $h(n,k) \geq \lfloor \frac{n}{2} \rfloor$. \Box

Table 2: Sorting *n* pegs in $\lfloor \frac{n}{2} \rfloor$ Berge 1-moves for $n \equiv 3 \pmod{4}$

$$\begin{array}{rcl} \mathcal{S}_{3,1} &=& \left\{ \begin{array}{ll} 4 & 1 \end{array} \right\} \\ \mathcal{S}_{7,1} &=& \left\{ \begin{array}{ll} 8 & 3 & 6 & 1 \end{array} \right\} \\ \mathcal{S}_{11,1} &=& \left\{ \begin{array}{ll} 12 & 3 & 10 & 5 & 8 & 1 \end{array} \right\} \\ \mathcal{S}_{15,1} &=& \left\{ \begin{array}{ll} 16 & 3 & 14 & 5 & 12 & 7 & 10 & 1 \end{array} \right\} \\ \mathcal{S}_{4i+3,1} &=& \left\{ \begin{array}{ll} 4i+4 & 3 & 4i+2 & 5 & 4i & 7 & 4i-2 & 9 & \dots & 2i+4 & 1 \end{array} \right\} \end{array}$$

Lemma 3.1 is tight because, for k = 1, we have $h(n, 1) = \lfloor \frac{n}{2} \rfloor$ for $n \equiv 3 \pmod{4}$, see Table 2. Solutions in $\lceil \frac{n}{2} \rceil$ Berge 1-moves for $n \not\equiv 3 \pmod{4}$ are very similar to the ones in $\lfloor \frac{n}{2} \rfloor$ 1-moves for $n \equiv 3 \pmod{4}$. Avis and Deza noticed in [1] that $h(n, 2) \ge \lceil \frac{n}{2} \rceil$ for $n \ge 5$. For $k \ge 2$, Lemma 3.1 can be strengthen to the following lemma.

Lemma 3.2. For $k \ge 2$ and $n \ge 5$, at least $\lceil \frac{n}{2} \rceil$ Berge k-moves are required to sort a string of n alternating white and black pegs. In other words, $h(n,k) \ge \lceil \frac{n}{2} \rceil$ for $k \ge 2$ and $n \ge 5$.

peg in the last 2 positions cannot be sorted by optimal moves. \Box

3.2 Optimal solutions for sorting by Berge k-moves

We first recall that a solution for sorting the alternating string in $\lceil \frac{n}{2} \rceil$ Berge 2-moves for $n \ge 5$ was given in [1].

Proposition 3.3. [1] For $n \ge 5$, a string of n alternating white and black pegs can be sorted in $\lceil \frac{n}{2} \rceil$ Berge 2-moves. In other words, $h(n,2) = \lceil \frac{n}{2} \rceil$ for $n \ge 5$.

Considering the case k = 3, we prove that $h(n,3) = \lceil \frac{n}{2} \rceil$ for $n \neq 0 \pmod{4}$ and, while computer calculations and preliminary attempts strongly indicated that the same holds for $n \equiv 0 \pmod{4}$ and $n \geq 20$, so far we could only exhibit a solution in $\lceil \frac{n}{2} \rceil + 1$ Berge 3-moves for $n \equiv 0 \pmod{4}$ and $n \geq 8$.

Proposition 3.4. For $n \ge 5$, a string of n alternating white and black pegs can be sorted in $\lceil \frac{n}{2} \rceil$ Berge 3-moves for $n \not\equiv 0 \pmod{4}$ and in $\lceil \frac{n}{2} \rceil + 1$ Berge 3-moves for $n \equiv 0 \pmod{4}$. In other words, for $n \ge 5$, $h(n,3) = \lceil \frac{n}{2} \rceil$ for $n \not\equiv 0 \pmod{4}$ and $\lceil \frac{n}{2} \rceil \le h(n,3) \le \lceil \frac{n}{2} \rceil + 1$ for $n \equiv 0 \pmod{4}$.

Proof. See Section 3.3 for a description of the solutions $S_{n,3}$. \Box

Propositions 3.3 and 3.4 lead to the following conjecture.

Conjecture 3.5. For $k \ge 2$ and $n \ge 2k + 11$, a string of n alternating white and black pegs can be sorted in $\lceil \frac{n}{2} \rceil$ Berge k-moves. In other words, $h(n,k) = \lceil \frac{n}{2} \rceil$ for $k \ge 2$ and $n \ge 2k + 11$.

To substantiate Conjecture 3.5, the authors calculated the values of h(n, k) by computer for $k \leq 14$ and $n \leq 50$ and, for these preliminary computations, did not find any counterexample. See Table 9, which gives the values of $h(n, k) - \lceil \frac{n}{2} \rceil$ for $k \leq 14$ and $n \leq 50$. Note that the alternating string obviously cannot be sorted by any number of k-moves for $n \leq k + 1$. The more conservative conjecture consisting in replacing " $n \geq 2k+11$ " by " $n \geq \binom{k+2}{2}+7$ " is also consistent with the computations reported in Table 9. See [4] for detailed and updated computational results.

3.3 **Proof of Proposition 3.4**

We exhibit solutions $S_{n,3}$ in $\lceil \frac{n}{2} \rceil$ moves for $n \neq 0 \pmod{4}$ and in $\lceil \frac{n}{2} \rceil + 1$ moves for $n \equiv 0 \pmod{4}$.

3.3.1 Case $n \equiv 1 \pmod{4}$

We have $S_{5,3} = \{ 6 \ 2 \ 5 \ 1 \}$ and $S_{n,3}$ can be constructed inductively as follows. Let $n = 4i + 1 \ge 9$ and assume we have a solution $S_{4i-3,3}$ taking $\lceil \frac{4i-3}{2} \rceil$ moves. First ignore the 4 pegs in positions 1, 2, 2i + 3 and 2i + 4 and sort the remaining 4i - 3 pegs using the solution

 $S_{4i-3,3}$. Then complete the solution $S_{4i+1,3}$ by the 2 moves $\{3 \ 2i+4 \ 1\}$. The solution $S_{4i+1,3}$ takes $\lceil \frac{4i-3}{2} \rceil + 2 = \lceil \frac{n}{2} \rceil$ moves. Note that the solution $S_{4i-3,3}$ can be performed while ignoring the 4 pegs in positions 1, 2, 2i + 3 and 2i + 4 because these pegs are not moved as, by induction, the solution $S_{4i+1,3}$ does not include among its entries any of -1, 0, 2i + 1, or 2i + 2 in the first 2i - 1 moves for $i \ge 1$. More precisely, with $S_{n,3}^j$ denoting the *j*-th entry of the solution $S_{n,3}$, we have:

$$\mathcal{S}_{4i+1,3}^{j} = \begin{cases} \mathcal{S}_{4i-3,3}^{j} + 2 & \text{for } 1 \le \mathcal{S}_{4i-3,3}^{j} \le 2i - 2 \\ \mathcal{S}_{4i-3,3}^{j} + 4 & \text{for } 2i + 1 \le \mathcal{S}_{4i-3,3}^{j} \end{cases}$$

See Table 3 for the first solutions $S_{n,3}$ for n = 5, 9, 13 and 17 and Figure 2 illustrating the induction from $S_{5,3}$ to $S_{9,3}$.

Table 3: First solutions for sorting n pegs in $\left\lceil \frac{n}{2} \right\rceil$ Berge 3-moves for $n \equiv 1 \pmod{4}$

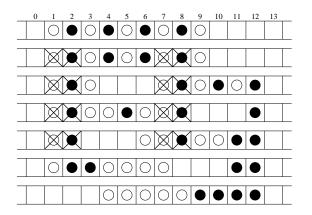


Figure 2: Sorting 9 pegs using the solution for 5

3.3.2 Case $n \equiv 2 \pmod{4}$

We have $S_{6,3} = \{7\ 2\ 6\ 1\}$ and $S_{n,3}$ can be constructed inductively as follows. Let $n = 4i+2 \ge 10$ and assume we have a solution $S_{4i-2,3}$ taking $\lceil \frac{4i-2}{2} \rceil$ moves. First ignore the 4 pegs in positions 1, 2, 2i+3 and 2i+4 and sort the remaining 4i-2 pegs using the solution $S_{4i-2,3}$. Then complete the solution $S_{4i+2,3}$ by the 2 moves $\{3\ 2i+4\ 1\}$. The solution $S_{4i+2,3}$ takes $\lceil \frac{4i-2}{2} \rceil + 2 = \lceil \frac{n}{2} \rceil$ moves. Note that the solution $S_{4i-2,3}$ can be performed while ignoring the 4 pegs in positions 1, 2, 2i+3 and 2i+4 because, by an argument similar to the one used in Section 3.3.1, these pegs are not moved. See Table 4 for the first solutions $S_{n,3}$ for n = 6, 10, 14 and 18.

Table 4: First solutions for sorting n pegs in $\left\lceil \frac{n}{2} \right\rceil$ Berge 3-moves for $n \equiv 2 \pmod{4}$

The following lemma can be easily checked by induction.

Lemma 3.6.

- (i) For $n \equiv 2 \pmod{4}$, the solutions $S_{n,3}$ shift the string three spaces to the right overall.
- (ii) For $n \equiv 2 \pmod{4}$, the solutions $S_{n,3}$ place the $\lceil \frac{n}{2} \rceil$ white pegs to the left of the $\lfloor \frac{n}{2} \rfloor$ black pegs.

3.3.3 Case $n \equiv 3 \pmod{4}$

We have $S_{7,3} = \{-2 \ 4 \ -1 \ 3 \ -2 \}$. Let $n = 4i + 3 \ge 11$, first perform the move $\{-2 \ 4i \}$. Then, ignore the peg at position 4i + 3 and sort the remaining 4i + 2 pegs using the solution $S_{4i+2,3}$, see Section 3.3.2. Lemma 3.6 guarantees the validity of this solution $S_{4i+3,3}$ which takes $\lceil \frac{4i+2}{2} \rceil + 1 = \lceil \frac{n}{2} \rceil$ moves. See Table 5 for the first solutions $S_{n,3}$ for n = 7, 11, 15 and 19.

Table 5: First solutions for sorting n pegs in $\lceil \frac{n}{2} \rceil$ Berge 3-moves for $n \equiv 3 \pmod{4}$

3.3.4 Case $n \equiv 0 \pmod{4}$

Although we found solutions in $\lceil \frac{n}{2} \rceil$ moves for $n \equiv 0 \pmod{4}$, $20 \leq n \leq 48$, we could not find solutions in $\lceil \frac{n}{2} \rceil$ moves for all n. However, solutions $\bar{S}_{4i,3}$ in $\lceil \frac{n}{2} \rceil + 1$ moves can be constructed as follows. Let $n = 4i \geq 16$, first perform the 2 moves $\{4i+1 \ 2 \ 4i-3\}$. Then, ignore the six leftmost pegs, and the four rightmost pegs and sort the remaining 4i - 10 pegs using the solution $S_{4i-10,3}$ shifted six spaces to the right, see Section 3.3.2. Finally, perform the 4 moves $\{7 \ 4i \ 6 \ 2i+2 \ 1\}$ to complete the solution $\bar{S}_{4i,3}$. Lemma 3.6 guarantees the validity of this solution $\bar{S}_{4i,3}$ which takes $2 + \lceil \frac{4i-10}{2} \rceil + 4 = \lceil \frac{n}{2} \rceil + 1$ moves. See Table 6 for the first solutions $\bar{S}_{n,3}$ for n = 16, 20 and 24.

Table 6: First solutions for sorting n pegs in $\left\lceil \frac{n}{2} \right\rceil + 1$ Berge 3-moves for $n \equiv 0 \pmod{4}$

While we could not exhibit solutions in $\lceil \frac{n}{2} \rceil$ moves for all $n \equiv 0 \pmod{4}$, we believe that such solutions exist for $n \geq 20$, i.e., the proposed solutions $\bar{S}_{4i,3}$ are not optimal, except for $\bar{S}_{16,3}$. See Table 7 for optimal solutions in $\lceil \frac{n}{2} \rceil + 1$ moves for n = 12 and 16, and Table 8 for optimal solutions in $\lceil \frac{n}{2} \rceil$ moves for n = 8, 20, 24, 28 and 32.

Table 7: Solutions for sorting n pegs in $\left\lceil \frac{n}{2} \right\rceil + 1$ Berge 3-moves for n = 12 and 16

Table 8: Solutions for sorting n pegs in $\left\lceil \frac{n}{2} \right\rceil$ Berge 3-moves for n=8, 20, 24, 28 and 32

4 Related Questions

Other extensions of Berge's original questions include sorting any n string:

- (a_1) Besides the alternating string, which other string requires exactly h(n,k) Berge k-moves?
- (a_2) What is the minimum number of Berge k-moves required to sort any n string?
- (a_3) Given a pair of strings, can we rearrange one into the other by Berge k-moves?

Associating the white and black colors to 0 and 1, the original $\{0, 1\}$ -valued string could be generalized to $\{0, 1, \ldots, m\}$ -valued strings where m is the number of colors; the final string being $0 \ldots 0 1 \ldots 1 \ldots m \ldots m$:

- (b_1) What is the minimum number of Berge k-moves required to sort a string consisting of m different integers each integer being represented by the same number of pegs?
- (b_2) In particular, what is the minimum number of Berge k-moves required to sort a string consisting of n different integers.

Generalizing to moves of k-by-k blocks in the plane could also be considered. Similar questions were raised for 2-moves in [1].

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$n \backslash k$	2	3	4	5	6	7	8	9	10	11	12	13	14
5	0	0	_	_	_	_	_	_	_	_	_	_	_
6	0	0	3	_	_	_	_	_	_	_	_	_	—
7	0	0	0	2	_	—	_	—	_	_	—	_	—
8	0	0	1	2	3	—	_	—	_	_	—	_	—
9	0	0	0	1	2	3	—	_	_	_	—	_	—
10	0	0	1	1	1	3	6	—	_	—	—	_	—
11	0	0	0	1	1	2	4	6	—	—	—	—	—
12	0	1	1	1	1	2	3	5	10	_	—	_	—
13	0	0	0	1	1	1	2	3	4	11	—	_	—
14	0	0	0	1	2	2	2	2	4	6	15	—	—
15	0	0	0	0	1	1	1	2	2	4	7	14	—
16	0	1	0	1	1	0	2	2	3	3	5	9	21
17	0	0	0	0	0	1	1	1	2	2	3	5	9
18	0	0	0	1	1	1	1	1	2	3	3	4	7
19	0	0	0	0	0	0	1	1	1	1	2	3	4
20	0	0	0	0	1	1	1	1	2	2	3	3	4
21	0	0	0	0	0	0	0	0	1	1	2	2	3
22	0	0	0	0	1	1	1	1	1	2	1	2	3
23	0	0	0	0	0	0	0	0	1	1	1	1	2
24	0	0	0	0	0	0	1	1	1	1	1	1	2
25 26	0	0	0	0	0	0	0	0	0	0	1	1	2
26	0	0	0	0	0	0	1	0	0	1	1	1	2
27	0	0	0	0	0	0	0	0	$\begin{array}{c} 0 \\ 1 \end{array}$	$\begin{array}{c} 0 \\ 1 \end{array}$	1 1	1 1	1 1
$28 \\ 29$	0 0	$1 \\ 0$	$1 \\ 0$	$1 \\ 0$	1	$1 \\ 0$							
$\frac{29}{30}$	0	0	0	0	0	0	0	0	0	1	1	1	1
30 31	0	0	0	0	0	0	0	0	0	0	0	0	0
$31 \\ 32$	0	0	0	0	0	0	0	0	0	0	0	0	1
33	0	0	0	0	0	0	0	0	0	0	0	0	0
34	0	0	0	0	0	0	0	0	0	0	0	0	1
35	0	0	0	0	0	0	0	0	0	0	0	0	0
36	0	0	0	0	0	0	0	0	0	0	0	0	1
37	0	0	0	0	0	0	0	0	0	0	0	0	0
38	0	0	0	0	0	0	0	0	0	0	0	0	0
39	0	0	0	0	0	0	0	0	0	0	0	0	0
40	0	0	0	0	0	0	0	0	0	0	0	0	0
41	0	0	0	0	0	0	0	0	0	0	0	0	0
42	0	0	0	0	0	0	0	0	0	0	0	0	0
43	0	0	0	0	0	0	0	0	0	0	0	0	0
44	0	0	0	0	0	0	0	0	0	0	0	0	0
45	0	0	0	0	0	0	0	0	0	0	0	0	0
46	0	0	0	0	0	0	0	0	0	0	0	0	0
47	0	0	0	0	0	0	0	0	0	0	0	0	0
48	0	0	0	0	0	0	0	0	0	0	0	0	0
49	0	0	0	0	0	0	0	0	0	0	0	0	0
50	0	0	0	0	0	0	0	0	0	0	0	0	0

Table 9: Values of $h(n,k) - \lceil \frac{n}{2} \rceil$ for $k \le 14$ and $n \le 50$

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