

COMP SCI 3EA3 — Software Specification and Correctness
 April 25, 2017

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| Name | Student Number |
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This examination paper includes 8 pages (including this cover sheet); it consists of 7 questions (on the first 8 pages), plus a Theorem List (on pages ??-??). You are responsible for ensuring that your copy of the paper is complete. Bring any discrepancy to the attention of your invigilator.

SPECIAL INSTRUCTIONS:

- Make sure your name and student number are on all sheets.
- Do not separate the first 8 pages.
You are allowed to separate the Theorem List pages ??-??.
- This is a **closed book** examination. No books, notes, texts, calculator or academic aids of any kind are permitted.
- Answer the questions in the space provided.
- **Read each question completely and carefully** before answering it.
- Answer all questions.
- You are **always allowed** to **introduce auxiliary definitions** and **prove auxiliary theorems**.
- **In doubt, document!**
- The marks add up to 100; the bonus question 7 is worth another three marks.

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The following laws may be particularly useful in this examination,

| | |
|-------------------------|---|
| “Abbreviation” | $a \leq x < b \equiv a \leq x \wedge x < b$ |
| “Linear Order Negation” | $x < b \equiv \neg(b \leq x)$ |
| “Interval Split” | $a \leq x < c \equiv a \leq x < b \vee b \leq x < c$ provided $a \leq b \leq c$ |
| “if-fi Context” | $\text{if } x = y \text{ then } T x \text{ else } F y \text{ fi} = \text{if } x = y \text{ then } T y \text{ else } F y \text{ fi}$ |
| “if-fi Idempotency” | $\text{if } b \text{ then } s \text{ else } s \text{ fi} = s$ |

1 Substitute It! — 15 marks —

Give the grammar for terms in the Backus-Naur Form presented in this class, then give the definition of substitution on terms, $[- := -] : \text{Term} \rightarrow \text{Variable} \rightarrow \text{Term} \rightarrow \text{Term}$, by pattern matching on the first term.

Afterwards prove $t[x := x] = t$.

Solution Hints:

Recall that a term is a constant c , a variable named x , or a function symbol f of arity n applied to other terms.

$$t ::= c \mid x \mid f(t_1, \dots, t_n)$$

With this in-hand, we define substitution by

$$\begin{aligned} c[x := E] &= c && \text{for constant } c \\ y[x := E] &= \text{if } x \text{ and } y \text{ are the same variable then } E \text{ else } y \text{ fi} \\ f(t_1, \dots, t_n)[x := E] &= f(t_1[x := E], \dots, t_n[x := E]) \end{aligned}$$

Just as we defined the operation by induction, we prove the required property by induction: Two base cases and a inductive step.

Constant case $t = c$:

$$\begin{aligned} &t[x := x] \\ &= \{ \text{case assumption} \} \\ &\quad c[x := x] \\ &= \{ \text{definition of substitution on constants} \} \\ &\quad c \\ &= \{ \text{case assumption} \} \\ &\quad t \end{aligned}$$

Variable case $t = y$:

$$\begin{aligned} &t[x := x] \\ &= \{ \text{case assumption} \} \\ &\quad y[x := x] \\ &= \{ \text{definition of substitution on variables} \} \\ &\quad \text{if } x \text{ and } y \text{ are the same variable then } x \text{ else } y \text{ fi} \\ &= \{ \text{context: the then-branch has } x \text{ and } y \\ &\quad \text{indistinguishable, so we may} \\ &\quad \text{replace } x \text{ with } y \text{ there} \} \\ &\quad \text{if } x \text{ and } y \text{ are the same variable then } y \text{ else } y \text{ fi} \\ &= \{ \text{conditional is idempotent:} \\ &\quad \text{if } b \text{ then } s \text{ else } s \text{ fi} = s \} \\ &\quad y \\ &= \{ \text{case assumption} \} \\ &\quad t \end{aligned}$$

Function Application case $t = f(t_1, \dots, t_n)$:

Assume the claim is true for terms t_i ; that is

$$\text{Induction hypothesis: } \forall i : 1..n \bullet t_i[x := x] = t_i$$

then we calculate,

$$\begin{aligned} &t[x := x] \\ &= \{ \text{case assumption} \} \\ &\quad f(t_1, \dots, t_n)[x := x] \\ &= \{ \text{definition of substitution on function application} \} \\ &\quad f(t_1[x := x], \dots, t_n[x := x]) \\ &= \{ \text{induction hypothesis} \} \\ &\quad f(t_1, \dots, t_n) \\ &= \{ \text{case assumption} \} \\ &\quad t \end{aligned}$$

2 The Other Half Of The Quadrivium — 10 marks —

1. Prove that any symmetric, associative, and idempotent operator ‘ \oplus ’ is necessarily self-distributive:

$$x \oplus (y \oplus z) = (x \oplus y) \oplus (x \oplus z)$$

Be **explicit** about any properties of ‘ \oplus ’ in your calculational proof.

Solution Hints:

As usual we may begin with the complicated side and simplify. However, we can “discover” this formula by starting at the simpler side and introducing a copy of one of the variables via idempotency:

$$\begin{aligned} & x \oplus (y \oplus z) \\ = & \quad \{ \text{Idempotency} \} \\ & (x \oplus x) \oplus (y \oplus z) \\ = & \quad \{ \text{Associativity, twice} \} \\ & x \oplus ((x \oplus y) \oplus z) \\ = & \quad \{ \text{Symmetry and Associativity} \} \\ & (x \oplus z) \oplus (x \oplus y) \\ = & \quad \{ \text{Symmetry} \} \\ & (x \oplus y) \oplus (x \oplus z) \end{aligned}$$

2. Prove that provided x does not occur in P and R is non-empty, we have

$$\text{“Superfluous Quantification for Idempotent } \oplus \text{”}: \quad (\oplus x \mid R \bullet P) = P$$

As usual, a quantification operation is necessarily associative and symmetric; moreover in this context it is assumed to have an identity and to be idempotent.

Solution Hints:

$$\begin{aligned} & (\oplus x \mid R \bullet P) \\ = & \quad \{ \text{Identity of } \oplus \} \\ & (\oplus x \mid R \bullet P \oplus e) \\ = & \quad \{ \text{Distributivity of } \oplus \text{ over } \oplus \text{ whose provisos are given} \\ & \quad \text{along with the result of the previous problem} \} \\ & P \oplus (\oplus x \mid R \bullet e) \\ = & \quad \{ \text{Unit Body} \} \\ & P \oplus e \\ = & \quad \{ \text{Identity of } \oplus \} \\ & P \end{aligned}$$

3 An Algorithm of the Old Sages — 15 marks —

Produce an algorithm —necessarily with proof— that satisfies the following informal specification:

“Assign **present** to be *true* iff a given element E is in the ordered (monotone) array $f[0..N-1]$.”

Solution Hints:

It is implicit in the *informal* spec that the array-length is a natural number, and we’re told the array is ordered,

$$G : N \geq 0 \quad \wedge \quad f \text{ monotone}$$

and the goal of the problem can be formalised as

$$R : \text{present} \equiv (\exists i : 0..N-1 \bullet E = f[i])$$

We can walk-along f and if we reach the end before witnessing E , then we can set *present* to be *false*. Such a Linear Search is not a completely valid solution since the extra information about the array being ordered is not used and hints that we ought to look for a more efficient solution.

As before, our solution has the form

“find x with $E = f[x]$, if possible”; “assign a value to *present*”

Where the first piece can be refined as follows:

$$\begin{aligned}
 & \text{“find } x \text{ with } E = f x, \text{ if possible”} \\
 \Leftarrow & \quad \{ \text{pick a value for } x \text{ if } E \text{ is not in the image of } f \} \\
 & \text{“find } x \text{ with } E = f x, \text{ if possible; otherwise } x = -1” \\
 \Leftarrow & \quad \{ \text{let us look for the largest index at which } E \text{ occurs} \\
 & \quad \text{and fictitiously } \mathbf{pretend} \text{ that } f(-1) = E. \} \\
 x = & \quad (\uparrow i : -1..N-1 \mid f i \leq E) \\
 \equiv & \quad \{ \text{Local Characterisation of Integer Extrema} \\
 & \quad \text{with antitonicity proviso: For any } i, j, \\
 & \quad \left[\begin{array}{l} f j \leq E \Rightarrow f i \leq E \\ \Leftarrow \{ \text{Transitivity} \} \\ f i \leq f j \\ \Leftarrow \{ f \text{ is monotone} \} \\ i \leq j \end{array} \right. \\
 & \quad \text{The non-empty proviso holds since } f(-1) = E \leq E. \\
 & \quad \text{The finiteness proviso is clear since we’re in the interval } -1..N-1. \\
 & \quad \} \\
 & -1 \leq x < N \quad \wedge \quad f x \leq E \quad \wedge \quad \neg(-1 \leq x+1 \leq N \wedge f(x+1) \leq E) \\
 \Leftarrow & \quad \{ \text{Weakening and contraposition; and linear order negation} \} \\
 & -1 \leq x < N \quad \wedge \quad f x \leq E \quad \wedge \quad E < f(x+1)
 \end{aligned}$$

This is the post-condition of binary search! Hence, we have

“Predicate Binary Search” $[b m := f m \leq E]$; *present* := **if** $0 \leq x$ **then** $(f x = E)$ **else false fi**

4 Assign Me To The Moon — 15 marks —

Using the heuristic of “programming is a goal-oriented activity”, step-by-step construct an algorithm to quantify over an array. Formally, solve:

$$\{ 0 \leq N \} \text{ ? } \{ \text{result} = (\oplus i \mid 0 \leq i < N \bullet f i) \}$$

Solution Hints:

Replacing constant N with a new variable and placing bounds on it yields invariant

$$P : \quad \text{result} = (\oplus i \mid 0 \leq i < n \bullet f i) \quad \wedge \quad 0 \leq n \leq N$$

which says “**result** holds the quantification *so far*”. It is clear that P is initially truthified by the assignment $\text{result}, n := e, 0$ where e is the unit of \oplus . Next, if we have P and $N = n$ then we have the required goal R , whence we take as loop guard

$$B : \quad N \neq n$$

Within the loop we have $P \wedge B$ which entail $N - n > 0$ and so we take $N - n$ as our bound function bf .

The bound is decreased by increasing n , so we consider incrementing it and *calculate* what must happen to our other variable. That is we solve for assignment E in the maintenance of the invariant: Assuming $P \wedge B$,

$$\begin{aligned} &= P[\text{result}, n := E, n + 1] \\ &= E = (\oplus i \mid 0 \leq i < n + 1 \bullet f i) \quad \wedge \quad 0 \leq n + 1 \leq N \\ &= \left\{ \begin{array}{l} \text{For the right conjunct,} \\ \left[\begin{array}{l} 0 \leq n + 1 \leq N \\ \Leftarrow \{ \text{Transitivity} \} \\ 0 \leq n \quad \wedge \quad n + 1 \leq N \\ = \{ \text{Integers are discrete} \} \\ 0 \leq n \quad \wedge \quad n < N \\ = \{ \text{Strict inclusion and abbreviation} \} \\ 0 \leq n \leq N \quad \wedge \quad n \neq N \\ = \{ \text{Assumptions } P \wedge B \} \\ \text{true} \end{array} \right. \\ \end{array} \right\} \\ &= E = (\oplus i \mid 0 \leq i < n + 1 \bullet f i) \\ &= \{ \text{Split off term} \} \\ &= E = (\oplus i \mid 0 \leq i < n \bullet f i) \oplus f(n + 1) \\ &= \{ \text{Assumption } P \} \\ &= E = \text{result} \oplus f(n + 1) \end{aligned}$$

Hence, the invariant is maintained precisely when we also assign result to be E , which we have calculated to be $\text{result} \oplus f(n + 1)$. Whence,

$$\begin{aligned} &\text{result}, n := e, 0 \\ &\text{; do } n \neq N \rightarrow \text{result}, n := \text{result} \oplus f(n + 1) \text{ od} \end{aligned}$$

5 Quantify! Justify! Edify! — 30 marks —

The “maximum segment sum” is specified by computing, for integer array A ,

$$\uparrow p, q \mid 0 \leq p \leq q \leq \text{length } A \bullet (\Sigma x \mid p \leq x < q \bullet Ax)$$

By the quantifier nesting law, we could formalise this in ACSL as

```
\max(0, len, \lambda integer p;
      \max(p, len, \lambda integer q;
            \sum(p, q-1, \lambda integer x; A[x])))
```

An alternative is to name the important quantifications and give explicit definitions for them —we can always do this since a quantification over an interval is just a recursively defined notation! For $n : \mathbb{N}$,

$$\begin{aligned} \text{max2D } n &= (\uparrow p, q \mid 0 \leq p \leq q \leq n \bullet S p q) \\ S p q &= (\Sigma x \mid p \leq x < q \bullet Ax) \\ \text{max1D } n &= (\uparrow p \mid 0 \leq p \leq n \bullet S p n) \end{aligned}$$

Now it suffices to compute $\text{max2D } n$ where $n = \text{length } A$. As such, let us find a recursive definition of max2D .

Taking $n = 0$ and simplifying we find $\text{max2D } 0 = 0$, then using split-off term we obtain that $\text{max2D } (n + 1) = \text{max2D } n \uparrow \text{max1D } (n + 1)$. Consequently, it seems we need to find a recursive definition of max1D . The case $n = 0$ again simplifies to 0, whereas the inductive case is more involved.

— 15 marks — For $0 \leq n$, prove $\text{max1D } (n + 1) = (\text{max1D } n + A n) \uparrow 0$

Solution Hints:

$$\begin{aligned} &\text{max1D } (n + 1) \\ = &\{ \text{Definition and Split off term with proviso } 0 < n + 1 \text{ following from } 0 \leq n \} \\ &(\uparrow p \mid 0 \leq p \leq n \bullet S p (n + 1)) \uparrow S (n + 1) (n + 1) \\ = &\{ \text{For the right-most term, for any } m: \\ &\left[\begin{aligned} &S m m \\ = &\{ \text{Definition} \} \\ &\Sigma x \mid m \leq x < m \bullet Ax \\ = &\{ \text{Linear Order Negation and Contradiction} \} \\ &\Sigma x \mid \text{false} \bullet Ax \\ = &\{ \text{Empty Range} \} \\ &0 \end{aligned} \right. \\ &\text{Now take } m = n + 1. \} \\ &(\uparrow p \mid 0 \leq p \leq n \bullet S p (n + 1)) \uparrow 0 \\ = &\{ \text{For the quantification body,} \\ &\left[\begin{aligned} &S p (n + 1) \\ = &\{ \text{Definition} \} \\ &\Sigma x \mid p \leq x < n + 1 \bullet Ax \\ = &\{ \text{Split off term with proviso } p < n + 1 \text{ given by the context} \} \\ &(\Sigma x \mid p \leq x < n \bullet Ax) + A n \\ = &\{ \text{Definition} \} \\ &S p n + A n \end{aligned} \right. \\ &\} \\ &(\uparrow p \mid 0 \leq p \leq n \bullet S p n + A n) \uparrow 0 \\ = &\{ \text{Distributivity of ‘+’ over } \uparrow \text{ with non-empty proviso holding since } 0 \leq n \} \\ &\left((\uparrow p \mid 0 \leq p \leq n \bullet S p n) + A n \right) \uparrow 0 \\ = &\{ \text{Definition of max1D} \} \\ &(\text{max1D } n + A n) \uparrow 0 \end{aligned}$$

— 3 marks — Use the previous discussion to fill in the following axiomatisation —the last one is done for you.

Solution Hints:

```
#define max(a,b) ((a) > (b) ? (a) : (b))

/*@ axiomatic MyMaxOps {
  @ logic integer max1D(int* A, integer len)          ;
  @ logic integer max2D(int* A, integer len)          ;
  @
  @ axiom base1: \forall int* A                        ;
  @      max1D(A, 0) == 0                             ;
  @ axiom splitOff1 : \forall int* A; \forall integer n ;
  @      max1D(A, n + 1) == max( max1D(A, n) + A[n] , 0 ) ;
  @
  @ axiom base2 : \forall int* A                        ;
  @      max2D(A, 0) == 0                             ;
  @ axiom splitOff2 : \forall int* A; \forall integer n ;
  @      max2D(A , n + 1) == max( max2D(A, n) , max1D(A, n + 1) );
  @ }
  @*/
```

— 12 marks — Provide appropriate specifications for the the following maximum segment sum program:

Solution Hints:

```
/*@ requires 0 <= len          ;
  @ requires \valid(A+(0..len-1)) ;
  @ assigns \nothing          ;
  @ ensures \result == max2D(A, len);
  */
int kaldewaij(int* A, int len)
{
  int n , r , s;
  n = r = s = 0;

  /*
  @ loop invariant 0 <= n <= len ;
  @ loop invariant r == max2D(A, n);
  @ loop invariant s == max1D(A, n);
  @ loop assigns n ;
  @ loop variant len - n ;
  */
  while( n != len )
  {
    s = max(s + A[n] , 0) ;
    r = max(r, s) ;
    n = n + 1 ;
  }

  return r;
}
```

6 Knowing The Source Code — 15 marks —

One ought to be comfortable using a variety of notational languages —e.g., different programming languages!

As an analogue to the integral $\int_a^b f(x) dx$ of a traditional first-year education in *continuous* mathematics, let us introduce the “sum” operation as a *discrete* counterpart,

$$\sum_a^b f(x) \delta x = (+x : \mathbb{Z} \mid a \leq x < b \bullet f x)$$

A host of familiar laws from the continuous setting also hold in the discrete setting. In-particular, prove

Solution Hints:

“Additivity”: For $a \leq b \leq c$,

$$\sum_a^b f(x) \delta x + \sum_b^c f(x) \delta x = \sum_a^c f(x) \delta x$$

We begin at the complicated side and aim to simplify,

$$\begin{aligned} & \sum_a^b f(x) \delta x + \sum_b^c f(x) \delta x \\ = & \{ \text{definition of “sum” operator, twice} \} \\ & (+x : \mathbb{Z} \mid a \leq x < b \bullet f x) \\ & + (+x : \mathbb{Z} \mid b \leq x < c \bullet f x) \\ = & \{ \text{Range Split with proviso:} \\ & \left[\begin{array}{l} a \leq x < b \quad \wedge \quad b \leq x < c \\ = \{ \text{abbreviation} \} \\ a \leq x \quad \wedge \quad x < b \wedge b \leq x \quad \wedge \quad x < c \\ = \{ \text{Linear order negation} \} \\ a \leq x \quad \wedge \quad \neg(b \leq x) \wedge b \leq x \quad \wedge \quad x < c \\ = \{ \text{contradiction} \} \\ a \leq x \quad \wedge \quad \text{false} \quad \wedge \quad x < c \\ = \{ \text{zero of } \wedge \} \\ \text{false} \end{array} \right. \\ & \} \\ & (+x : \mathbb{Z} \mid a \leq x < b \quad \vee \quad b \leq x < c \bullet f x) \\ = & \{ \text{interval split with } a \leq b \leq c \} \\ & (+x : \mathbb{Z} \mid a \leq x < c \bullet f x) \\ = & \{ \text{definition} \} \\ & \sum_a^c f(x) \delta x \end{aligned}$$

“Fubini’s Theorem”: For $a \leq b$ and $c \leq d$,

$$\sum_a^b \left(\sum_c^d f(x, y) \delta x \right) \delta y = \sum_c^d \left(\sum_a^b f(x, y) \delta y \right) \delta x$$

We begin at the complicated side and simplify,

$$\begin{aligned} & \sum_a^b \left(\sum_c^d f(x, y) \delta x \right) \delta y \\ = & \{ \text{definition of “sum” notation, twice} \} \\ & (+y : \mathbb{Z} \mid a \leq y < b \bullet (+x : \mathbb{Z} \mid c \leq x < d \bullet f x y)) \\ = & \{ \text{Nesting} \} \\ & (+y, x : \mathbb{Z} \mid a \leq y < b \quad \wedge \quad c \leq x < d \bullet f x y) \\ = & \{ \text{Dummy List Permutation and symmetry of } \wedge \} \\ & (+x, y : \mathbb{Z} \mid c \leq x < d \quad \wedge \quad a \leq y < b \bullet f x y) \\ = & \{ \text{Nesting} \} \\ & (+x : \mathbb{Z} \mid c \leq x < d \bullet (+y : \mathbb{Z} \mid a \leq y < b \bullet f x y)) \\ = & \{ \text{definition of “sum” notation, twice} \} \\ & \sum_c^d \left(\sum_a^b f(x, y) \delta y \right) \delta x \end{aligned}$$

7 (Bonus) Why Are We Here? — 3 marks —

Define the term *correct-by-construction programming*.

Solution Hints:

It’s what we’ve been doing the whole term: Calculating programs from their specifications.

Have A Great Summer!