

# Introduction

- Formal specifications: use mathematical methods to specify software requirements
- A formal language: make precise statements about software and hardware

- A grammar

- Present the language
- Consists of a set of

- \* terminal symbols (e.g. int)

- \* non-terminal symbols (e.g. TYPE)

- \* productions (form: **NON\_TERMINAL**  $\rightarrow$  “some BNF expressions”)

# BNF

- Short for “Bachus-Naur Form”

- A way to present grammars

- Rules for writing a BNF expression

$\text{BNF} \rightarrow \Gamma$

| BNF BNF

| BNF | BNF

| BNF\*

| BNF+

| BNF ?

| (BNF)

where let  $\Gamma = \{a, b\}$  be a set of symbols

–  $ab$  means first  $a$  then  $b$

–  $a|b$  means either  $a$  or  $b$

–  $a^*$  means many or no repetitions of  $a$

–  $a^+$  means one or more repetitions of  $a$

–  $a?$  means one or no repetition of  $a$

–  $(ab)$  means grouping together, first  $a$  then  $b$

Examples  
Let  $\Gamma = \{a, b\}$

- $(ab)^* =$  or ab or abab or ababab or ...
- $(a | b)? =$  or a or b
- $(a | b)_+ =$  a or b or aa or ab or ba or bb or ...
- $ab^* =$  a or ab or abb or abbb or ...
- $ab_+ =$  ab or abb or abbb or ...
- $ab? =$  a or ab
- $a^*(ab)_+^* =$  aab or abb or aababb or ...
- $(a | b)(a_+b)^* \neq ab^*b$

$((+|-)?\{0-9\}?\{0-9\}+(E(+|-)?\{0-9\}+)?)?$   
where  $\{0-9\}=(0|1|2|3|\dots|9)$

denotes strings that represent floating point numbers

$= -1.23E+11$  or  
 $= .3$  or  
 $= +3.456E-5$  or  
 $\dots$   
 $\neq -45.45$

## Test questions

- Given alphabet  $\Gamma = \{a, b\}$  and BNF grammar  
 $(a|b)?(bab|aba)^+a^*b^*$ , indicate using *true* or *false* if  
the following strings are in the language defined by  
the grammar.

(a) bab

(b) babbab

(c) bababa

(d) aaa

(e) babb

(f) ababab

(g) abbaabaabb

- Over the alphabet  $\Sigma = \{a, b, c\}$ , give the *BNF grammar* which defines the language which contains the set of all strings that must contain the substring **abacab**.

- Short for “Basic Extended Simple Type Theory”
- Allow us to express properties of software systems
- A strictly typed language

**BESTT**



TYPE  $\leftarrow$  ATOMICTYPE | TYPEVAR  
 | TYPE  $\leftarrow$  TYPE  
 | TYPE \* TYPE  
 | TYPE set  
 | TYPE seq  
 | (TYPE)

- Types in BESTT

Types

ATOMICTYPE  $\rightarrow$  int | bool | char | string | unit

TYPEVAR  $\rightarrow$  An identifier not used in any other place  
– In ASCII we mark type variables using a `'`, e.g. `'a` or  
– we use the Greek letters  $\alpha, \beta, \gamma, \dots$

- Examples

—  $\text{int} * \text{int}$

—  $\text{int} \leftarrow \text{int}$

—  $\text{int seq}$

—  $\text{bool set}$

—  $(\text{a} * \text{b}) \text{ seq}$

—  $(\text{a} \leftarrow \text{b}) * \text{a seq} \leftarrow \text{b seq}$

—  $(\text{a} \leftarrow \text{b}) \leftarrow \text{a seq} \leftarrow \text{b seq}$

## Test questions

1. Write out in words what  $((a \rightarrow b) * (a \rightarrow b)) \text{ seq}$  means.
2. Write the formal type for the function *select* which takes a tuple containing of function of type 'a to a type bool and a sequence of type 'a and return a sequence of type 'a.
3. Define currying. Give the formal type of the curried version of *select* defined in the previous question.
4. The type 'a  $\rightarrow$  'b  $\rightarrow$  'c has 2 interpretations. Using words, describe both.

## Answers

1. The type of a sequence of pairs where both pair components are of type  $'a$  and the function from value of type  $'a$  to value of type  $'b$ .

2.  $(('a \rightarrow \text{bool}) * 'a \text{ seq}) \rightarrow 'a \text{ seq}$

3.  $('a \rightarrow \text{bool}) \rightarrow 'a \text{ seq} \rightarrow 'a \text{ seq}$

4.  $'a \rightarrow ('b \rightarrow 'c):$  type of a function which takes a value of type  $'a$  and returns a value of type function from

value of type  $'b$  to value of type  $'c$  or

$('a \rightarrow 'b) \rightarrow 'c:$  type of a function which takes a value of type function from a value of type  $'a$  to a value of

type  $'b$  and returns a value of type  $'c$

## Declarations

- $\text{TYPEDDECL} \rightarrow \text{type TYPE}$

- $\text{DECLARATION} \rightarrow \text{val NAME : TYPE}$

Declare that some symbol with the name NAME is of type TYPE

- Examples

– val a: int

– val f: int \* int  $\rightarrow$  int

– val s: int set

– val x: (int \* char) seq

– val fs: ( $\alpha \rightarrow \beta$ ) set

## Signatures

- A set of declarations grouped together
- The mathematical equivalent of *interfaces* in software
- Structure

SIGNATURE  $\leftarrow$  signature NAME =

sig

\*TYPEDECL\*

\*DECLARATION\*

end

- Example

The following presents the signature of a stack over some type 'a

```
signature STACK =  
  sig  
    type 'a stack  
    val empty : 'a stack  
    val is_empty : 'a stack -> bool  
    val pop : 'a stack -> 'a stack  
    val push : 'a * 'a stack -> 'a stack  
    val size : 'a stack -> int  
    val top : 'a stack -> 'a  
  end
```



## Expressions

- Constructed using predeclared identifiers, constants and function symbols.
- Each expression has a type.  $EXP^\alpha$  denotes an expression of some type  $\alpha$ .
- Expressions  $EXP^\alpha$  are constructed as follows:  
 $EXP = ID^\alpha$  a declared identifier  
| a constant of type  $\alpha$   
|  $EXP^{\alpha_1, \alpha_2, \dots, \alpha_n} \rightarrow \alpha$  ( $EXP^{\alpha_1}, EXP^{\alpha_2}, \dots, EXP^{\alpha_n}$ )

- $EXP^{\alpha \rightarrow \beta} = \lambda ID_\alpha : TYPE_\alpha . EXP_\beta$   
 –  $\lambda ID_\alpha : TYPE_\alpha . EXP_\beta$  is called  **$\lambda$ -abstraction**.  
 – In  $x:int \Rightarrow x * x : x$  : an ASCII representation of  $\lambda x:int.x * x$
- $EXP^{aset} = S ID_\alpha : TYPE_\alpha . EXP^{\alpha \rightarrow bool}$   
 | empty  
 – **S** is the set constructor.
- An alternate notation:  $\{ID_\alpha : TYPE_\alpha \mid EXP^{\alpha \rightarrow bool}\}$
- $EXP^{\alpha seq} = EXP^{\alpha seq} \parallel EXP_\alpha$   
 | nil  
 –  $\parallel$  is used to add an element to the end of a sequence.

## Examples

- empty
  - `push(2,empty)`
  - `top(push(2,empty))`
  - `size(push(1,push(2,empty)))`
  - `is_empty(empty)`
  - `is_empty(size(empty))`
- “bad” example

## Formulas and Predicates

- Formulas are expressions of type `bool`.

- Predicates are expressions of type `'a → bool`.

- Formulas are constructed as follows:

FORMULA  $\rightarrow$  FORMULA  $\wedge$  FORMULA

FORMULA  $\vee$  FORMULA

FORMULA  $\rightarrow$  FORMULA

$\neg$  FORMULA

$\forall$  ID:TYPE . FORMULA

$\exists$  ID:TYPE . FORMULA

EXP<sup>bool</sup>

- Examples

– true

– true  $\vee$   $\neg$  (false)

– true  $\vee$  false

–  $\forall n: \text{int. even}(2 * n)$

(where even: int  $\rightarrow$  bool)

–  $(\neg a \vee b) \rightarrow c$

(where a, b, and c are formulas)

–  $\neg$  (sum(x,y)) (where sum: int \* int  $\rightarrow$  int) “bad” example

test question

Given the following signature and variable declarations

signature TOTO =

sig

val a1 : 'a

val a2 : 'a -> bool

val a3 : 'b -> ('a seq)

val a4 : int -> 'a

end

val a5 : int

val a6 : bool

Are the following valid expressions?

(a)  $a_2$

(b)  $\lambda a_5: \text{int} . a_2(a_5)$

(c)  $a_3(a_2(a_4(-3)))$

(d)  $S \ x: \text{int} . a_2(x)$

Are the following valid formulas?

(a)  $a_2$

(b)  $\forall a_5: \text{int} . a_2(a_5)$

(c)  $a_6 \wedge \text{true}$

(d)  $\exists x: \text{int} . a_2(a_4(x))$

(e)  $\neg (a_5)$

## Assertions

- Associates an expression to an identifier
- Not an assignment as used in programming languages
- Not an expression, no type
- Assertion of types
  - type IDENTIFIER = TYPE
- Assertion of expressions
  - val NAME (: TYPE)? = EXP
  - fun NAME ((NAME (: TYPE)?)\* ) (: TYPE) = EXP



## Examples (assertion of types)

- $\text{type int\_pair} = \text{int} * \text{int}$
- $\text{type aa} = \text{int} \rightarrow \text{int}$
- $\text{type int\_seq} = \text{int seq}$
- $\text{type pair\_seq} = (\text{a} * \text{b}) \text{ seq}$
- $\text{type mapper} = (\text{a} \rightarrow \text{b}) * \text{a seq} \rightarrow \text{b seq}$
- $\text{type abc} = \text{a} \rightarrow \text{b} \rightarrow \text{c}$
- $\text{type ab} = ((\text{a} \rightarrow \text{b}) * (\text{a} \rightarrow \text{b})) \text{ seq}$

## Examples (assertion of expressions)

- $\text{val } a = 3$
- $\text{val } F: \text{int} \rightarrow \text{int} = \text{fn } x \Rightarrow x + 1$
- $\text{fun } F(x:\text{int}):\text{int} = x + 1$
- $\text{val emptyset} = \text{empty}$
- $\text{val } s: \text{int set} = S \text{ x:int} . \text{odd}(x)$
- $\text{val emptyseq} = \text{nil}$
- $\text{val } x: \text{int seq} = y \parallel 2$  (assuming  $y:\text{int seq}$ )